

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC HEADQUARTERS
STAFF ATTORNEYS**

The SEC's **Division of Investment Management** is accepting attorney applications for each of its following offices.

- **Office of Regulatory Policy:** The Office of Regulatory Policy is responsible for developing rules under the Investment Company Act governing the operation of mutual funds and other investment companies. Recent projects have included rules on fund governance, redemption fees, late trading in fund shares, and anti-money laundering initiatives. Staff attorneys handle all phases of a rulemaking project, including drafting recommendations for proposed and final rules and presenting them to the Commission; analyzing public comments on proposed rules; and providing interpretive guidance on new rules. Staff attorneys also work on other special projects relating to the regulation of investment companies.
- **Office of Disclosure Regulation:** The Office of Disclosure Regulation is responsible for developing rules governing the disclosure provided by mutual funds and other investment companies to their investors, including disclosure in registration statements, reports to shareholders, and proxy statements. Staff attorneys handle all phases of a rulemaking project, including drafting recommendations for proposed and final rules and presenting them to the Commission; analyzing public comments on proposed rules; and providing interpretive guidance on new rules. Staff attorneys also work on special projects relating to regulation of investment company disclosure.
- **Office of Public Utility Regulation:** Staff attorneys review and analyze applications under the Public Utility Holding Company Act of 1935 (Act); negotiate with registered holding companies; drafts notices and orders; assist in setting regulatory policy under the Act; assist in the examination of registered holding companies; draft new or amended rules; and confer with other Commission offices, among other activities.

Applicants must have a J.D. from an accredited law school, be a member of the bar, and have one year of legal experience--as a member of the bar--preferably in the securities field or in a related financial field. Demonstrated experience with and knowledge of the statutes administered by the Commission, with particular emphasis on the Investment Company Act of 1940 and the Investment Advisers Act of 1940 **OR** the Public Utility Holding Company Act of 1935 for the position in the Office of Public Utility Regulation, is especially desirable.

Salaries range from \$79,511 to \$119,485, depending on experience. To apply for these positions, you must provide (1) a cover letter that outlines your relevant background and experience and **specifies the office(s) to which you are applying** and (2) a current resume. Applicants selected for an interview may be asked to provide a law school transcript, a 5 to 10-page writing sample, and references. Please apply by mail, fax, or email to:

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